

Atty Docket No. SCHB-500**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYSTEM AND METHOD FOR PROVIDING FINANCIAL ADVICE FOR AN INVESTMENT PORTFOLIO

the specification of which (check one) ☒ is attached hereto or ☐ was filed on _____ as Application No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

Yes No

Number Country Day/Month/Year Filed

☐ ☐

Number Country Day/Month/Year Filed

☐ ☐

I hereby claim the benefit under 35 U.S.C. § 119(3) of any United States provisional application(s) below:

Application Number Filing Date

Application Number Filing Date

Application Number Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Filing Date	Status: Patented, Pending, Abandoned
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Application Number	Filing Date	Status: Patented, Pending, Abandoned
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Application Number	Filing Date	Status: Patented, Pending, Abandoned
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor James D. Peterson ^{Peterson JDP}

Inventor's signature James D. Peterson

10/27/2003
Date

Residence 2571 Chinook Court, Walnut Creek, California 94598

Citizenship U.S.A.

Post Office Address 2571 Chinook Court, Walnut Creek, California 94598

Full name of second joint inventor, if any, Paul-Charles Archimede Pietranico

Inventor's signature Paul-Charles Archimede Pietranico

10/27/03
Date

Residence 759 - 29th Avenue, San Francisco, California 94121

Citizenship U.S.A.

Post Office Address 759 - 29th Avenue, San Francisco, California 94121

Full name of third joint inventor, if any, Jeremiah Harrison Chafkin

Inventor's signature Jeremiah Harrison Chafkin

10-28-03
Date

Residence 274 St. James' Drive, Piedmont, California 94611

Citizenship U.S.A.

Post Office Address 274 St. James' Drive, Piedmont, California 94611